

# RESOURCE-CONSTRAINED FEDERATED LEARNING WITH HETEROGENEOUS LABELS AND MODELS

**Gautham Krishna Gudur**  
*Ericsson R&D*



**Bala Shyamala Balaji**  
*Indian Institute of Technology, Madras*



**Perepu Satheesh Kumar**  
*Ericsson Research*





# LEARNING FROM MULTIPLE DEVICES ON THE EDGE

Collaborative and Distributed Machine Learning is now possible more than ever to help best utilize the information learnt from multiple IoT devices.



## Practical Challenges

***Privacy Concerns*** about sharing sensitive data to the cloud from local user devices

***Low Latency*** between cloud and local devices

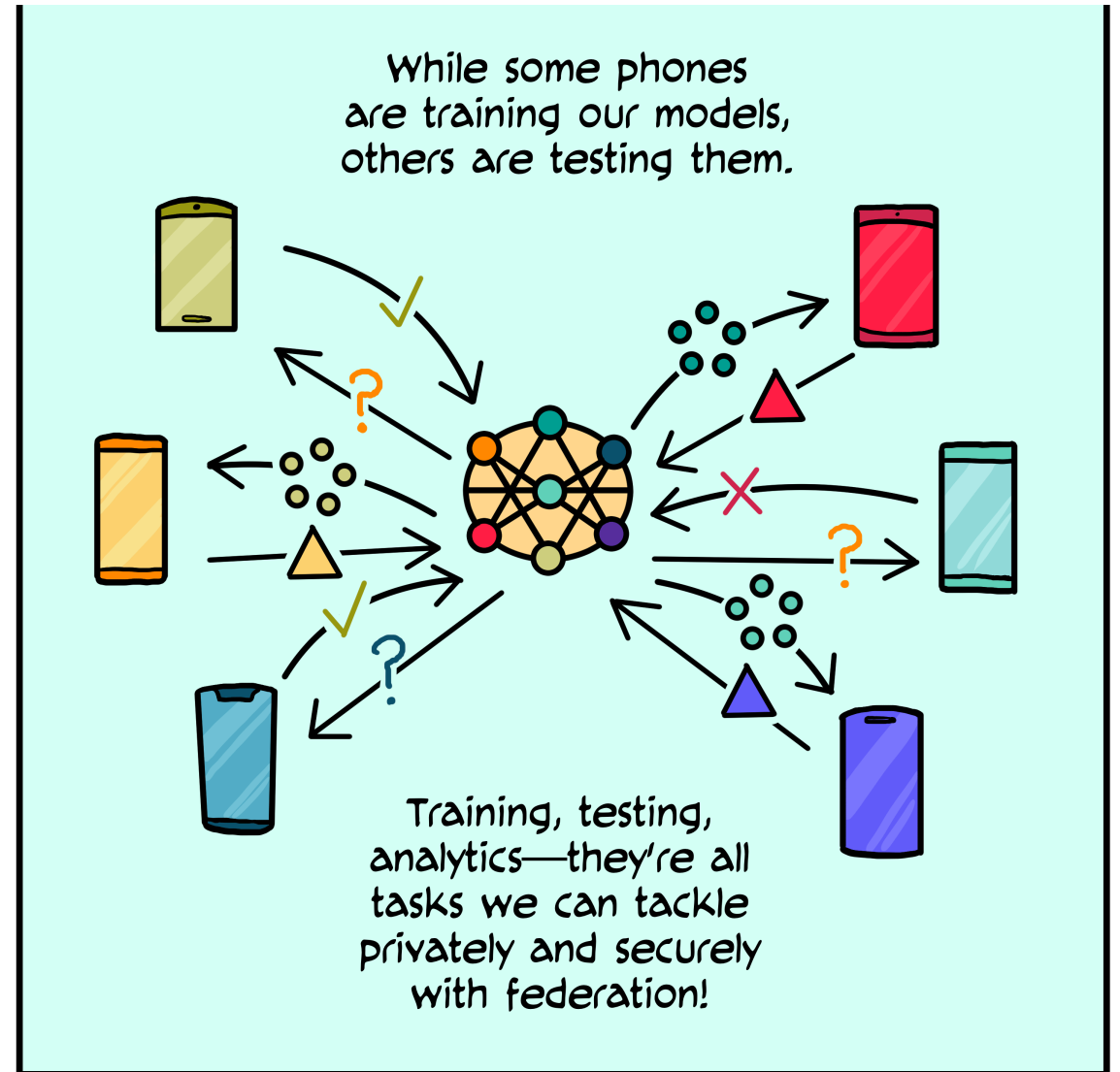
# FEDERATED LEARNING

Algorithms are trained across a federation of multiple decentralized devices.

Effectively train a global/centralized model without compromising on sensitive data of various users.

Transfer of model weights and updates from local devices to cloud, rather than conventional sharing of data.

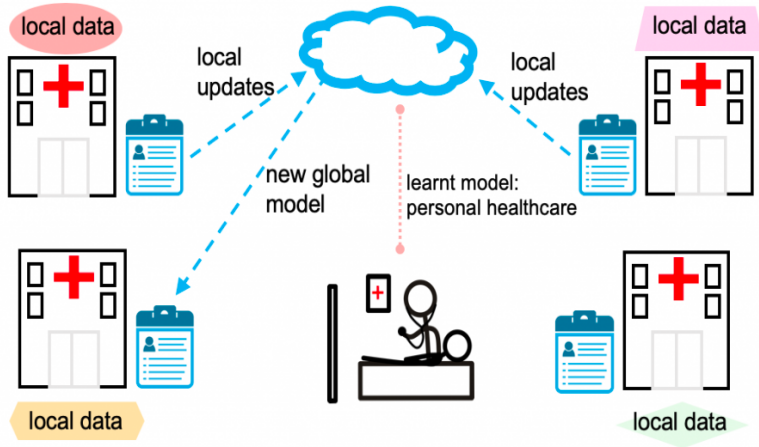
*More Personalization; Minimal Latency; Privacy Preserving.*



Picture taken from [federated.withgoogle.com](https://federated.withgoogle.com)

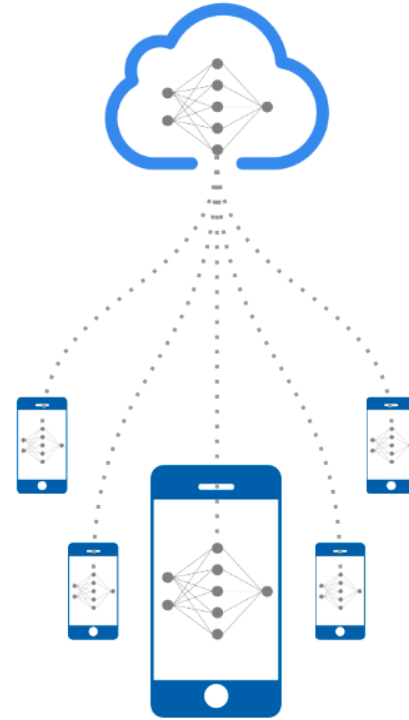


## HEALTHCARE

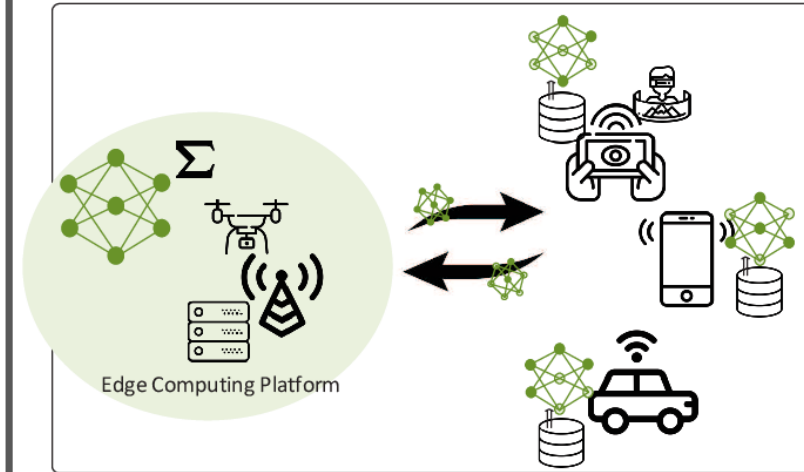


Picture taken from <https://blog.ml.cmu.edu/2019/11/12/federated-learning-challenges-methods-and-future-directions/>

## IoT ON THE EDGE



## WIRELESS/TELECOM



Picture taken from <https://arxiv.org/pdf/1908.06847.pdf>

# FEDERATED LEARNING APPLICATIONS



# PROMINENT CHALLENGES IN RESOURCE-CONSTRAINED FEDERATED LEARNING

---

- Communication Overheads – Reducing Latency
- Privacy Concerns – Sensitive Data Transfer
- Systems Heterogeneities – Hardware, Software, Network, Power (Resource Constraints)
- Statistical Heterogeneities
  - Non-IIDness
  - Model Heterogeneities





# PROMINENT CHALLENGES IN RESOURCE-CONSTRAINED FEDERATED LEARNING

---

- Communication Overheads – Reducing Latency
- Privacy Concerns – Sensitive Data Transfer
- Systems Heterogeneities – Hardware, Software, Network, Power (Resource Constraints)
- Statistical Heterogeneities
  - Non-IIDness
  - Model Heterogeneities
  - ***Label Heterogeneities***

**What are *Label Heterogeneities*?**





# PROMINENT CHALLENGES IN RESOURCE-CONSTRAINED FEDERATED LEARNING

---

- Communication Overheads – Reducing Latency
- Privacy Concerns – Sensitive Data Transfer
- Systems Heterogeneities – Hardware, Software, Network, Power (Resource Constraints)
- Statistical Heterogeneities
  - Non-IIDness
  - Model Heterogeneities
  - ***Label Heterogeneities***

**What are *Label Heterogeneities*?**

**The flexibility to handle different labels across user devices.**



# GOALS OF OUR PROPOSED SYSTEM

- A framework to allow ***flexible heterogeneous selection of labels***, thereby leveraging information pertaining to specific classes (with and without label overlap).
- Flexibility in ***preferred local model architectures*** in a federated learning setting, for effective transfer learning between global and local models.
- Empirical demonstration of the framework's ability to handle different data distributions (***statistical heterogeneities and non-IID***) across various user devices.
- Demonstrating the ***feasibility of on-device personalized federated learning***, and resource-friendly; independent of users (*User Adaptability*).

# PROPOSED FRAMEWORK

---

- *Model scores*, instead of model weights are sent to the cloud during every federated learning iteration.
  - **Build:** We build the model on the incoming data pertaining to each local user at specific iteration.
  - **Local Update:** Weighted average of scores across different iterations on same user.
    - We propose a weighted  $\alpha$ -update, where  $\alpha$  is the ratio between the size of current private dataset and the size of public dataset.
    - Governs the contributions of the new and old models.
  - **Global Update:** Weighted average of scores across all users in same iteration.
    - $\beta$  parameter governs the weightage given to overlapping labels across users.
- 

**Algorithm 1:** Proposed Framework to handle heterogeneous labels and models in Federated Learning

**Input** - Public Data set  $\mathcal{D}_0\{x_0, y_0\}$ , Private datasets  $\mathcal{D}_m^i$ , Total users  $M$ , Total iterations  $I$ , LabelSet for each user  $l_m$

**Output** - Trained Model scores  $f_G^I$

**Initialize** -  $f_G^0 = \mathbf{0}$  (Global Model Scores)

**for**  $i = 1$  **to**  $I$  **do**

**for**  $m = 1$  **to**  $M$  **do**

**Build:** Model  $\mathcal{D}_m^i$  and predict  $f_{\mathcal{D}_m^i}(x_0)$

**Local Update:**  $f_{\mathcal{D}_m^i}(x_0) = f_G^I(x_0^{l_m}) + \alpha f_{\mathcal{D}_m^i}(x_0)$ , where  $f_G^I(x_0^{l_m})$  are the global scores of only the set of labels  $l_m$  with the  $m^{th}$  user, and  $\alpha = \frac{\text{len}(\mathcal{D}_m^i)}{\text{len}(\mathcal{D}_0)}$

**Global Update:** Update label wise,

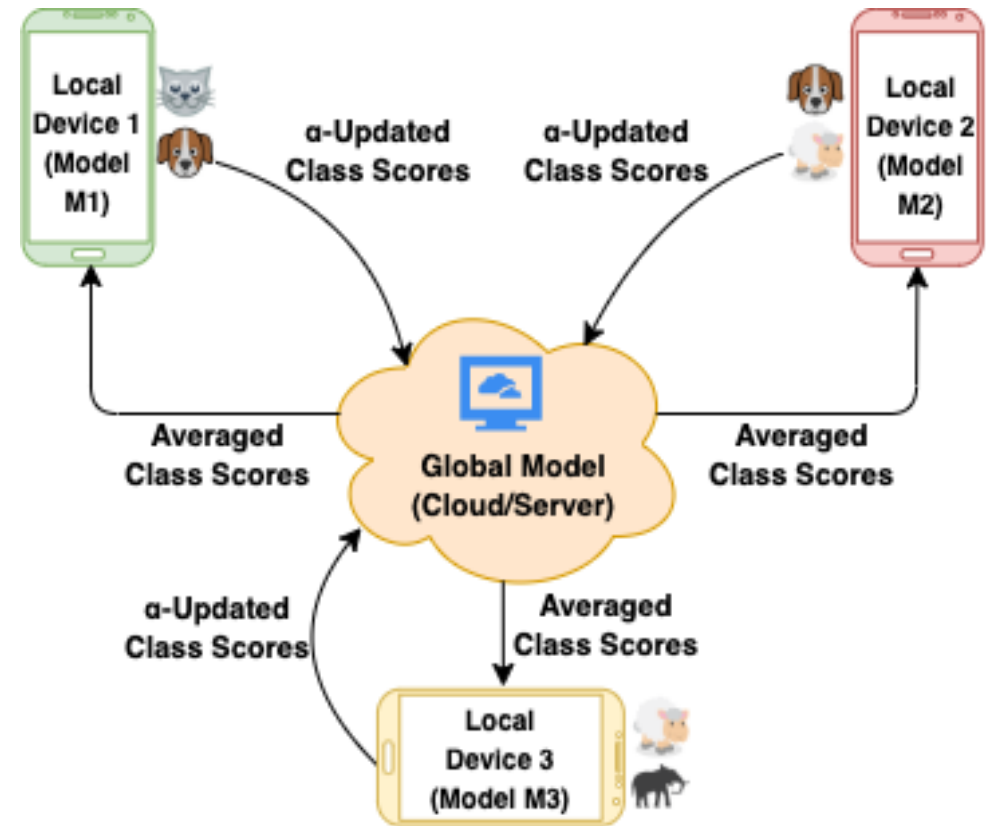
$$f_G^{i+1} = \sum_{m=1}^M \beta_m f_{\mathcal{D}_m^i}(x_0), \text{ where,}$$

$$\beta = \begin{cases} 1 & \text{If labels are unique} \\ \text{acc}(f_{\mathcal{D}_m^i}(x_0)) & \text{If labels overlap} \end{cases}$$

**end for**

**end for**

# PROPOSED SYSTEM/ ARCHITECTURE





# EXPERIMENTAL SETUP

- Animals-10 Dataset.
- 4 labels {Cat, Dog, Sheep, Elephant} simulated for 15 iterations across 3 users.
- $D_0$  is the public dataset (also test dataset), with 500 images per label – 2000 labels in total.
- Average the model scores on public dataset  $D_0$  from the built models in each iteration.
- Image data across different iterations are split with disparities in both labels and distributions of data (*non-IID*).

	User 1	User 2	User 3	Global User
<b>Model Arch.</b>	2-Layer CNN {16, 32} Softmax Activation	3-Layer CNN {16, 16, 32} ReLU Activation	2-Layer CNN {16, 32} ReLU Activation	—
<b>Labels</b>	{Cat, Dog}	{Dog, Sheep}	{Sheep, Elephant}	{Cat, Dog, Sheep, Elephant}
<b>Images per Iter</b>	{500, 500}	{500, 500}	{500, 500}	{500, 500, 500, 500}



# HETEROGENEITY IN MODEL ARCHITECTURES ACROSS ITERATIONS

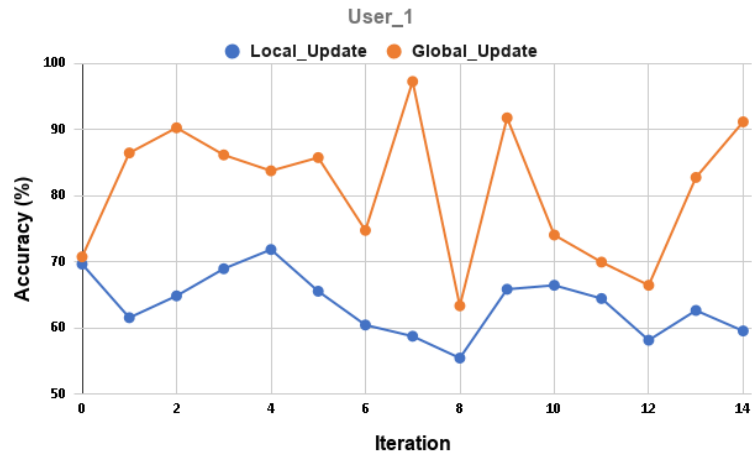
Iterations	New Model Arch.
User 1 Iteration 10	3-Layer ANN (16, 16, 32) ReLU Activation
User 1 Iteration 14	1-Layer CNN (16) Softmax Activation
User 2 Iteration 6	3-Layer CNN (16, 16, 32) Softmax Activation
User 3 Iteration 5	4-Layer CNN (8, 16, 16, 32) Softmax Activation

# AVERAGE INCREASE IN ACCURACIES ACROSS USERS

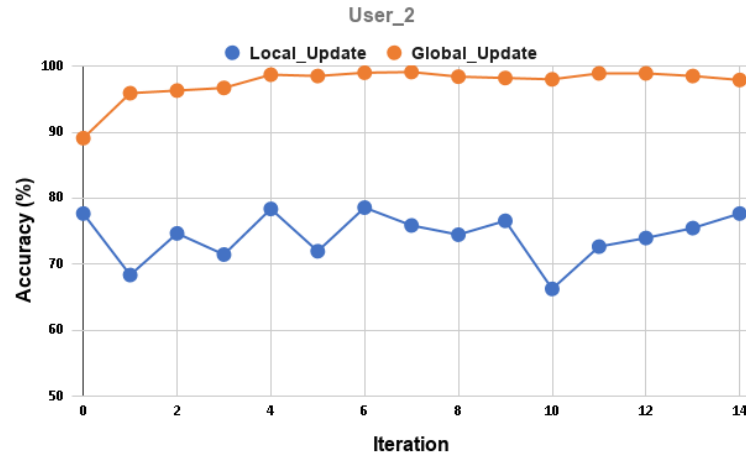
- Accuracies of all global updates in each user are deterministically higher than their respective accuracies of local updates.
- Information gain in User 2, maximum overlapped labels; more robust in global updates.
- Overall increase of **~16.7%** across all three users.

	Local Update	Global Update	Accuracy Increase
<b>User 1</b>	63.66	81.02	17.36
<b>User 2</b>	74.3	97.47	<b>23.17</b>
<b>User 3</b>	68.72	78.02	9.3
<b>Average</b>	<b>68.89</b>	<b>85.5</b>	<b>16.7</b>

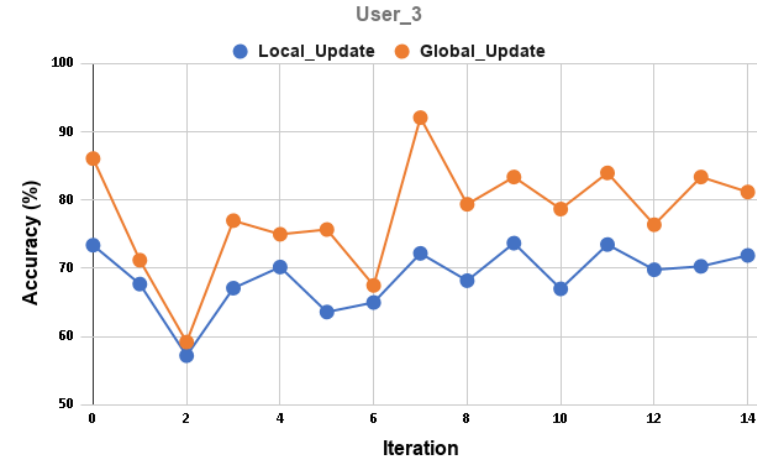
 {Cat, Dog} 



 {Dog, Sheep} 



 {Sheep, Elephant} 



# LOCAL MODEL ACCURACY VS ITERATIONS

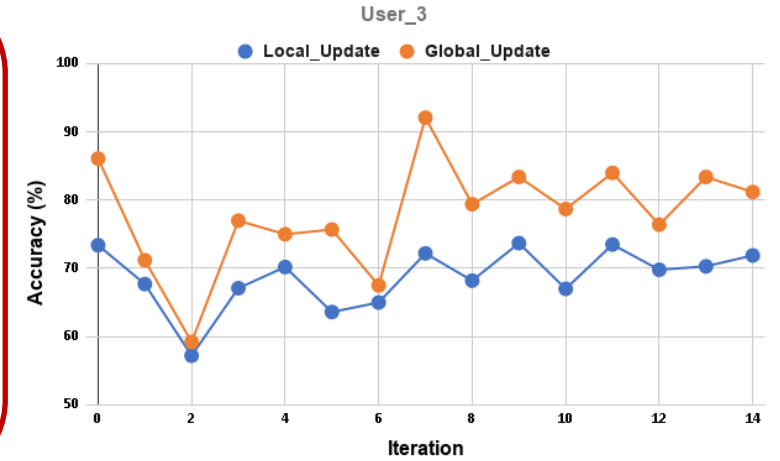
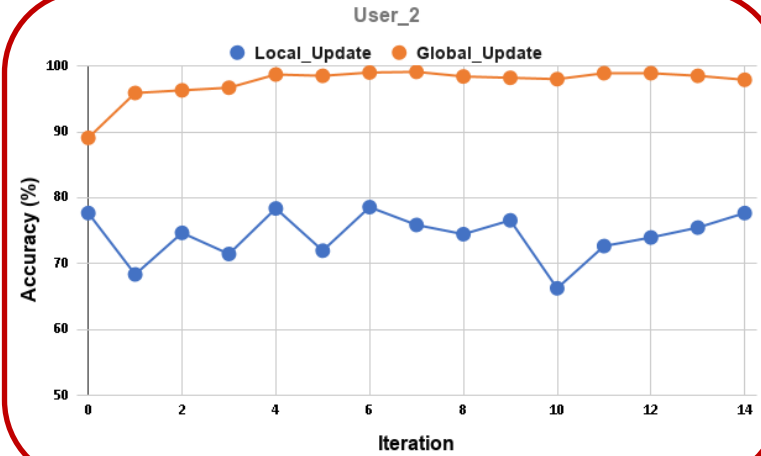
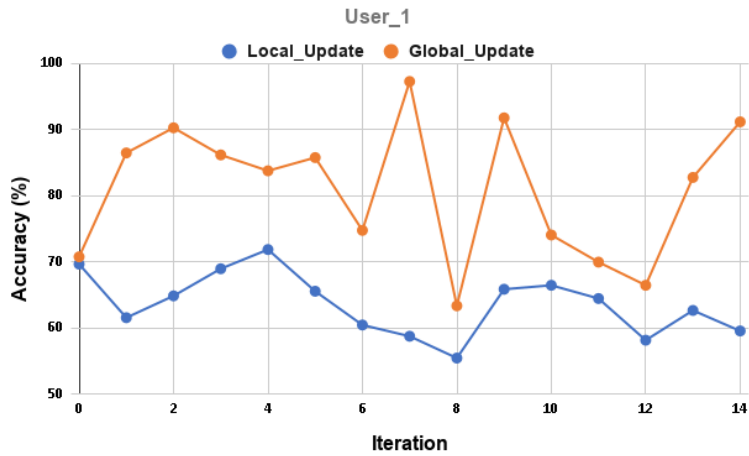
*Local Update* signifies the accuracy of each local updated model (after  $i^{\text{th}}$  iteration) tested on Public Dataset  $D_0$ .

*Global Update* signifies the accuracy of the corresponding global updated model (after  $i^{\text{th}}$  iteration) tested on Public Dataset  $D_0$ .

{Cat, Dog}

{Dog, Sheep}

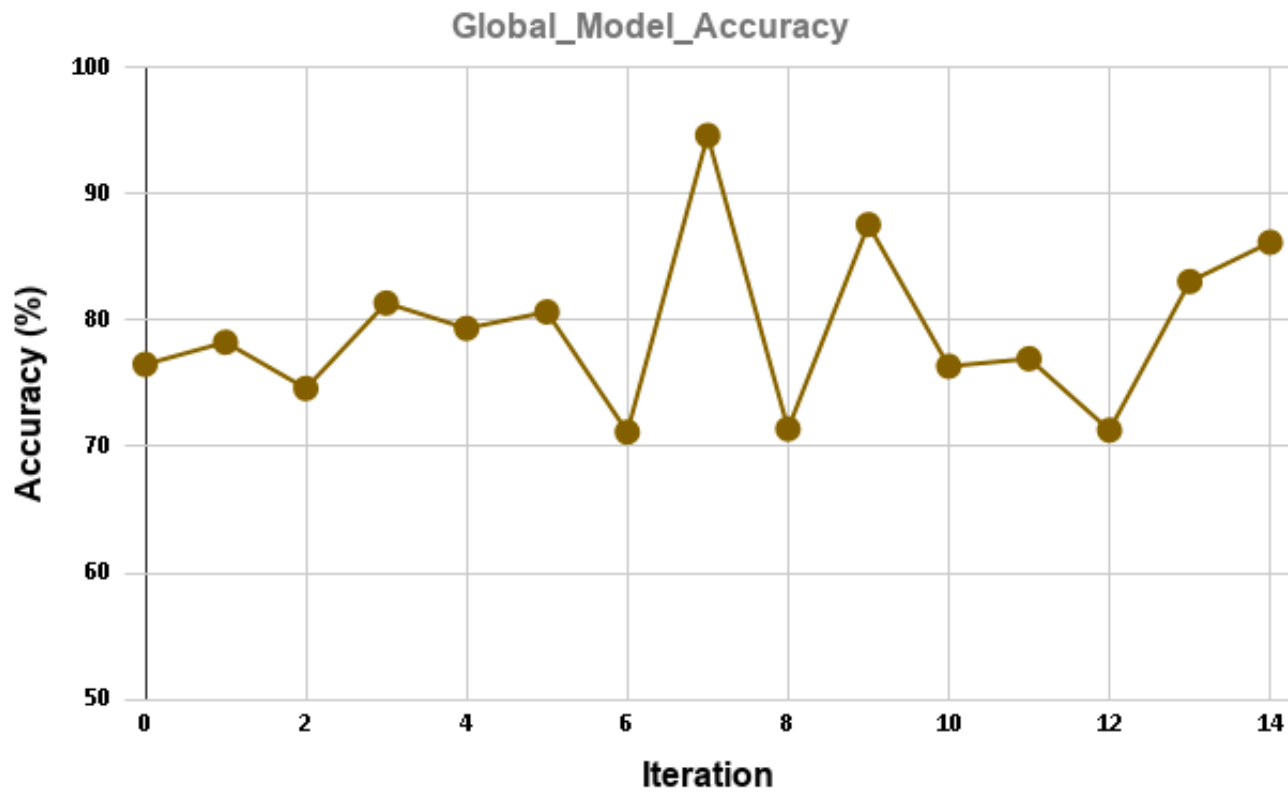
{Sheep, Elephant}



# LOCAL MODEL ACCURACY VS ITERATIONS

*Local Update* signifies the accuracy of each local updated model (after  $i^{\text{th}}$  iteration) tested on Public Dataset  $D_0$ .

*Global Update* signifies the accuracy of the corresponding global updated model (after  $i^{\text{th}}$  iteration) tested on Public Dataset  $D_0$ .



FINAL GLOBAL  
AVERAGE  
ACCURACIES  
VS  
ITERATIONS

# ON-DEVICE PERFORMANCE

- On-device performance of our proposed framework is experimented on a Raspberry Pi 2.
- Similar (HW/SW) specifications with that of predominant contemporary IoT/edge/mobile devices.
- Clearly feasible.

Process	Computational Time
Training time per epoch in a FL iteration	1.8 sec
Inference time	15 ms

# CONCLUSION

- A unified method with to handle both heterogeneous labels and model architectures in Federated Learning setting.
- Both global and local updates require computation of global model accuracy and are weighted based on it ( $\alpha$  and  $\beta$  updates).
- Overlapping labels are found to make our framework robust, and also helps in effective accuracy increase.
- Exhibit on-device feasibility of federated learning and inference.

# REFERENCES

- [1] Li, T., Sahu, A. K., Talwalkar, A., and Smith, V. Federated learning: Challenges, methods, and future directions. *IEEE Signal Processing Magazine* 37, 3 (2020), 50–60.
- [2] McMahan, H. B., Moore, E., Ramage, D., Hampson, S., and Arcas, B. A., Communication-efficient learning of deep networks from decentralized data, In *Proceedings of the 20th International Conference on Artificial Intelligence and Statistics* (2017), vol. 54, pp. 1273–1282.
- [3] Konečný, J., McMahan, H. B., Ramage, D., and Richtárik, P. Federated optimization: Distributed machine learning for on-device intelligence. *arXiv preprint arXiv:1610.02527* (2016).



Contact

Gautham Krishna Gudur

Bala Shyamala Balaji

Perepu Satheesh Kumar

Let's chat!

**THANK YOU!**

**QUESTIONS?**